

CLAIMS

What is claimed is:

1. A method of generating a versatile financial transaction, comprising:
identifying a versatile financial transaction,

wherein the versatile financial transaction is comprised of at least five
subcomponent transactions;

providing an order for processing the subcomponent transactions;

wherein the subcomponent transactions are interrelated,

wherein subcomponent transaction types specified in the order are based on
the identified versatile financial transaction's subcomponent requirements,

wherein subcomponent parameters specified in the order are based on
ordering requirements made in identifying the versatile financial transaction,

wherein the subcomponents are provided substantially simultaneously to a
trade executing entity,

identifying an underlying financial instrument for the versatile financial transaction;

effecting the execution of trades on the order's subcomponents substantially

simultaneously from the order provision.

- 18 2. A method of generating a versatile financial transaction, comprising:
19 identifying a versatile financial transaction, wherein the versatile financial transaction
20 is comprised of at least five subcomponent transactions;
21 providing an order for processing the subcomponent transactions;
22 wherein the subcomponent transactions are interrelated,
23 wherein subcomponent transaction types specified in the order are based on
24 the identified versatile financial transaction's subcomponent requirements.
- 25 3. The method of claim 2, wherein a complement order is made available to a
26 trading market.
- 27 4. The method of claim 3, wherein the complement order is brokered.
- 28 5. The method of claim 2, wherein the subcomponent transactions include over-
29 the-counter options.
- 30 6. The method of claim 2, further, comprising:
31 identifying an underlying financial instrument for the identified versatile
32 financial transaction.
- 33 7. The method of claim 2, wherein subcomponent parameters specified in the
34 order are based on ordering requirements made in identifying the versatile financial
35 transaction.
- 36 8. The method of claim 2, wherein some of the subcomponents' specified in the
37 order require other subcomponents in the order to execute as specified, otherwise both sets of
38 subcomponents will not execute.

- 39 9. The method of claim 2, wherein some of the subcomponents' specified in the
40 order require other subcomponents in an other order to execute as specified, otherwise both
41 sets of subcomponents will not execute.
- 42 10. The method of claim 7, wherein the ordering requirements are made by an
43 investor.
- 44 11. The method of claim 7, wherein the ordering requirements are made by a
45 system.
- 46 12. The method of claim 2, wherein the subcomponents are obtained substantially
47 simultaneously.
- 48 13. The method of claim 12, wherein the subcomponents are obtained by a trade
49 executing entity.
- 50 14. The method of claim 2, further, comprising:
51 effecting the execution of trades on the order's subcomponents substantially
52 simultaneously.
- 53 15. The method of claim 2, wherein one order is populated for all subcomponents.
- 54 16. The method of claim 2, wherein one order is provided for each subcomponent.
- 55 17. The method of claim 2, wherein some subcomponents are amalgamated into
56 one order and other orders are provided for each subcomponent.
- 57 18. The method of claim 2, wherein the provision is to a server.
- 58 19. The method of claim 2, wherein the provision is for execution of a trade.
- 59 20. The method of claim 19, wherein the execution of the order's subcomponents
60 occurs substantially simultaneously.

61 21. The method of claim 2, wherein the versatile financial transaction is a
62 SlingshotHedge.

63 22. The method of claim 2, wherein the versatile financial transaction is a ratioed
64 vertical.

65 23. A method of generating a versatile financial transaction, comprising:
66 obtaining an order for a versatile financial transaction,
67 wherein the versatile financial transaction is comprised of at least five
68 subcomponent transactions;

69 processing the order for the subcomponent transactions;

70 wherein the subcomponent transactions are interrelated,

71 wherein subcomponent transaction types specified in the order are based on
72 the versatile financial transaction's subcomponent requirements,

73 wherein subcomponent parameters specified in the order are based on
74 ordering requirements made for the versatile financial transaction,

75 wherein the subcomponents are obtained substantially simultaneously at a
76 trade executing entity,

77 effecting the execution of trades on the order's subcomponents substantially
78 simultaneously from the processed order.

- 79 24. A method of generating a versatile financial transaction, comprising:
80 obtaining an order for a versatile financial transaction, wherein the versatile financial
81 transaction is comprised of at least five subcomponent transactions;
82 processing the subcomponent transactions;
83 wherein the subcomponent transactions are interrelated,
84 wherein subcomponent transaction types specified in the order are based on
85 the versatile financial transaction's subcomponent requirements;
86 effecting the execution of trades on the order's subcomponents.
- 87 25. The method of claim 24, wherein a complement order is made available to a
88 trading market.
- 89 26. The method of claim 25, wherein the complement order is brokered.
- 90 27. The method of claim 24, wherein the subcomponent transactions include over-
91 the-counter options.
- 92 28. The method of claim 24, further, comprising:
93 identifying an underlying financial instrument for the identified versatile
94 financial transaction.
- 95 29. The method of claim 24, wherein subcomponent parameters specified in the
96 order are based on ordering requirements made for the versatile financial transaction.
- 97 30. The method of claim 24, wherein some of the subcomponents' specified in the
98 order require other subcomponents in the order to execute as specified, otherwise both sets of
99 subcomponents will not execute.

- 100 31. The method of claim 24, wherein some of the subcomponents' specified in the
101 order require other subcomponents in an other order to execute as specified, otherwise both
102 sets of subcomponents will not execute.
- 103 32. The method of claim 29, wherein the ordering requirements are made by an
104 investor.
- 105 33. The method of claim 29, wherein the ordering requirements are made by a
106 system.
- 107 34. The method of claim 24, wherein the subcomponents are obtained
108 substantially simultaneously.
- 109 35. The method of claim 34, wherein the subcomponents are obtained by an trade
110 executing entity.
- 111 36. The method of claim 24, further, comprising:
112 executing trades on the order's subcomponents substantially simultaneously.
- 113 37. The method of claim 24, wherein one order is populated for all
114 subcomponents.
- 115 38. The method of claim 24, wherein one order is provided for each
116 subcomponent.
- 117 39. The method of claim 24, wherein some subcomponents are amalgamated into
118 one order and other orders are provided for each subcomponent.
- 119 40. The method of claim 24, wherein the order is obtained at a server.
- 120 41. The method of claim 24, wherein the versatile financial transaction is a
121 SlingshotHedge.

122 42. The method of claim 24, wherein the versatile financial transaction is a ratioed
123 vertical.

124 43. A method of generating a versatile financial transaction, comprising:
125 identifying an underlying financial instrument;
126 identifying a versatile financial transaction for the underlying financial instrument,
127 wherein the versatile financial transaction is comprised of at least three
128 subcomponent transactions;
129 providing an order for processing the subcomponent transactions;
130 wherein the subcomponent transactions are interrelated,
131 wherein subcomponent transaction types specified in the order are based on
132 the identified versatile financial transaction's subcomponent requirements,
133 wherein subcomponent parameters specified in the order are based on
134 ordering requirements made in identifying the versatile financial transaction,
135 wherein the subcomponents are provided substantially simultaneously to trade
136 executing entity,
137 effecting the execution of trades on the order's subcomponents substantially
138 simultaneously from the order provision.

- 139 44. A method of generating a versatile financial transaction, comprising:
140 identifying a versatile financial transaction,
141 wherein the versatile financial transaction is comprised of at least three
142 subcomponent transactions;
143 providing an order for processing the subcomponent transactions;
144 wherein the subcomponent transactions are interrelated,
145 wherein subcomponent transaction types specified in the order are based on
146 the identified versatile financial transaction's subcomponent requirements;
147 identifying an underlying financial instrument for the versatile financial transaction.
- 148 45. The method of claim 44, wherein a complement order is made available to a
149 trading market.
- 150 46. The method of claim 45, wherein the complement order is brokered.
- 151 47. The method of claim 44, wherein the subcomponent transactions are over-the-
152 counter options.
- 153 48. The method of claim 44, wherein subcomponent parameters specified in the
154 order are based on ordering requirements made in identifying the versatile financial
155 transaction.
- 156 49. The method of claim 44, wherein at least one of the subcomponents' specified
157 in the order require other subcomponents in the order to execute as specified, otherwise both
158 sets of subcomponents will not execute.

159 50. The method of claim 48, wherein some of the subcomponents' parameters
160 specified in the order require other subcomponents in an other order to execute as specified,
161 otherwise both sets of subcomponents will not execute.

162 51. The method of claim 48, wherein the ordering requirements are made by an
163 investor.

164 52. The method of claim 48, wherein the ordering requirements are made by a
165 system.

166 53. The method of claim 44, wherein the subcomponents are obtained
167 substantially simultaneously.

168 54. The method of claim 53, wherein the subcomponents are obtained by an trade
169 executing entity.

170 55. The method of claim 44, further, comprising:
171 effecting the execution of trades on the order's subcomponents substantially
172 simultaneously.

173 56. The method of claim 44, wherein one order is populated for all
174 subcomponents.

175 57. The method of claim 44, wherein one order is provided for each
176 subcomponent.

177 58. The method of claim 44, wherein some subcomponents are amalgamated into
178 one order and other orders are provided for each subcomponent.

179 59. The method of claim 44, wherein the provision is to a server.

180 60. The method of claim 44, wherein the provision is for execution of a trade.

181 61. The method of claim 60, wherein the execution of the order's subcomponents
182 occurs substantially simultaneously.

183 62. The method of claim 44, wherein the versatile financial transaction is a
184 SlingshotHedge.

185 63. The method of claim 44, wherein the versatile financial transaction is a ratioed
186 vertical.

187 64. A method of generating a versatile financial transaction, comprising:
188 obtaining an order for a versatile financial transaction for an underlying financial
189 instrument,
190 wherein the versatile financial transaction is comprised of at least three
191 subcomponent transactions;
192 processing the order for the subcomponent transactions;
193 wherein the subcomponent transactions are interrelated,
194 wherein subcomponent transaction types specified in the order are based on
195 the versatile financial transaction's subcomponent requirements,
196 wherein subcomponent parameters specified in the order are based on
197 ordering requirements made for the versatile financial transaction,
198 wherein the subcomponents are obtained substantially simultaneously at a
199 trade executing entity,
200 effecting the execution of trades on the order's subcomponents substantially
201 simultaneously from the processed order.

- 202 65. A method of generating a versatile financial transaction, comprising:
203 obtaining an order for a versatile financial transaction for an underlying financial
204 instrument,
205 wherein the versatile financial transaction is comprised of at least three
206 subcomponent transactions;
207 processing the order for the subcomponent transactions;
208 wherein the subcomponent transactions are interrelated,
209 wherein subcomponent transaction types specified in the order are based on
210 the versatile financial transaction's subcomponent requirements;
211 effecting the execution of trades on the order's subcomponents.
- 212 66. The method of claim 65, wherein a complement order is made available to a
213 trading market.
- 214 67. The method of claim 66, wherein the complement order is brokered.
- 215 68. The method of claim 65, wherein the subcomponent transactions are over-the-
216 counter options.
- 217 69. The method of claim 65, wherein subcomponent parameters specified in the
218 order are based on ordering requirements made for the versatile financial transaction.
- 219 70. The method of claim 65, wherein at least one of the subcomponents' specified
220 in the order require other subcomponents in the order to execute as specified, otherwise both
221 sets of subcomponents will not execute.

- 222 71. The method of claim 69, wherein some of the subcomponents' parameters
223 specified in the order require other subcomponents in an other order to execute as specified,
224 otherwise both sets of subcomponents will not execute.
- 225 72. The method of claim 69, wherein the ordering requirements are made by an
226 investor.
- 227 73. The method of claim 69, wherein the ordering requirements are made by a
228 system.
- 229 74. The method of claim 65, wherein the subcomponents are obtained
230 substantially simultaneously.
- 231 75. The method of claim 74, wherein the subcomponents are obtained by a trade
232 executing entity.
- 233 76. The method of claim 65, wherein execution of trades on the order's
234 subcomponents occurs substantially simultaneously.
- 235 77. The method of claim 65, wherein one order is populated for all
236 subcomponents.
- 237 78. The method of claim 65, wherein one order is provided for each
238 subcomponent.
- 239 79. The method of claim 65, wherein some subcomponents are amalgamated into
240 one order and other orders are provided for each subcomponent.
- 241 80. The method of claim 65, wherein the order is obtained at a server.
- 242 81. The method of claim 65, wherein the versatile financial transaction is a
243 SlingshotHedge.

244 82. The method of claim 65, wherein the versatile financial transaction is a ratioed
245 vertical.

246 83. In memory, an interaction interface that is invokable by a processor,
247 comprising:

248 instruction signals in the memory, wherein the instruction signals are issuable by the
249 processor to provide:

250 a selection interface mechanism to specify a desired versatile financial
251 transaction;

252 an interaction interface mechanism to display subcomponents for the selected
253 versatile financial transaction;

254 an interaction interface mechanism to shift values associated with the selected
255 versatile financial transaction; and

256 a display area to display any of the mechanisms.

257

258 84. The method of claim 83, wherein the selection interface mechanism lists
259 versatile financial transactions graphically.

260 85. The method of claim 83, wherein the selection interface mechanism lists
261 versatile financial transactions textually.

262 86. The method of claim 83, wherein the subcomponents are retrieved for display
263 from a database based on the selected versatile financial transaction.

264 87. The method of claim 83, wherein the values include strike price and strike
265 times.

- 266 88. A method of creating a versatile financial mechanism, comprising:
267 selecting a versatile variant financial mechanism, wherein the versatile variant is
268 comprised of multiple subcomponent transactions;
269 looking-up the subcomponent transactions that comprise the selected versatile variant
270 in a database based on the selected versatile variant financial mechanism,
271 wherein the subcomponent transactions are interrelated,
272 wherein the subcomponents are found based on the selected versatile financial
273 transaction;
274 identifying the availability of the subcomponent transactions;
275 providing at least one order for processing the subcomponents, if the subcomponents
276 are available.
- 277 89. The method of claim 88, wherein a complement order is made available to a
278 trading market.
- 279 90. The method of claim 89, wherein the complement order is brokered.
- 280 91. The method of claim 88, wherein subcomponent parameters specified in the
281 order are based on ordering requirements made in selecting the versatile financial transaction.
- 282 92. The method of claim 91, wherein subcomponent parameters specified in the
283 order may be shifted with a user interface mechanism.
- 284 93. The method of claim 91, wherein the ordering requirements are made by an
285 investor.
- 286 94. The method of claim 91, wherein the ordering requirements are made by a
287 system.

288 95. The method of claim 88, wherein the subcomponents are obtained
289 substantially simultaneously.

290 96. The method of claim 95, wherein the subcomponents are obtained by an trade
291 executing entity.

292 97. The method of claim 88, further, comprising:
293 selecting an underlying financial mechanism for the versatile variant.

294 98. The method of claim 88, wherein one order is populated for all
295 subcomponents.

296 99. The method of claim 88, wherein one order is provided for each
297 subcomponent.

298 100. The method of claim 88, wherein some subcomponents are amalgamated into
299 one order and other orders are provided for each subcomponent.

300 101. The method of claim 88, wherein the provision is to a server.

301 102. The method of claim 88, wherein the provision is for execution of a trade.

302 103. The method of claim 102, wherein the execution of the order's
303 subcomponents occurs substantially simultaneously.

304 104. The method of claim 88, wherein the versatile financial transaction includes at
305 least three subcomponent transactions.

306 105. The method of claim 88, wherein the versatile financial transaction is a
307 SlingshotHedge.

308 106. The method of claim 88, wherein the versatile financial transaction is a ratioed
309 vertical.

310 107. A method of creating a versatile financial mechanism, comprising:
311 obtaining an order for a versatile variant financial mechanism,
312 wherein the versatile variant was selected from an underlying financial
313 instrument
314 wherein the versatile variant is comprised of multiple subcomponent
315 transactions;
316 processing the order for the selected versatile variant financial mechanism's
317 subcomponents,
318 wherein the subcomponent transactions are interrelated,
319 wherein the subcomponents are found based on the selected versatile financial
320 transaction;
321 identifying the availability of the subcomponent transactions;
322 effecting the execution of trades on the order's subcomponents.

323 108. The method of claim 107, wherein a complement order is made available to a
324 trading market.

325 109. The method of claim 108, wherein the complement order is brokered.

326 110. The method of claim 107, wherein subcomponent parameters specified in the
327 order are based on ordering requirements made in selecting the versatile financial transaction.

328 111. The method of claim 110, wherein the ordering requirements are made by an
329 investor.

330 112. The method of claim 110, wherein the ordering requirements are made by a
331 system.

332 113. The method of claim 107, wherein the subcomponents are obtained
333 substantially simultaneously.

334 114. The method of claim 113, wherein the subcomponents are obtained by a trade
335 executing entity.

336 115. The method of claim 107, wherein one order is populated for all
337 subcomponents.

338 116. The method of claim 107, wherein one order is provided for each
339 subcomponent.

340 117. The method of claim 107, wherein some subcomponents are amalgamated
341 into one order and other orders are provided for each subcomponent.

342 118. The method of claim 107, wherein the order is obtained at a server.

343 119. The method of claim 107, wherein the provision is for execution of a trade.

344 120. The method of claim 119, wherein the execution of the order's
345 subcomponents occurs substantially simultaneously.

346 121. The method of claim 107, wherein the versatile financial transaction includes
347 at least three subcomponent transactions.

348 122. The method of claim 107, wherein the versatile financial transaction is a
349 SlingshotHedge.

350 123. The method of claim 107, wherein the versatile financial transaction is a
351 ratioed vertical.

352 124. A versatile financial mechanism generator, comprising:
353 a memory;
354 a processor disposed in communication with said memory, and configured to issue a
355 plurality of processing instructions stored in the memory, wherein the instructions issue
356 signals to:
357 select an underlying financial mechanism;
358 select a versatile variant financial mechanism, wherein the versatile variant is
359 comprised of multiple subcomponent transactions;
360 look-up the subcomponent transactions that comprise the selected versatile
361 variant in a database, wherein the subcomponent transactions are interrelated;
362 provide one or more orders for processing the subcomponents, if the
363 subcomponents are available.

364 125. A medium readable by a processor to dynamically select a network,
365 comprising:
366 instruction signals in the processor readable medium, wherein the instruction signals
367 are issuable by the processor to:
368 select an underlying financial mechanism;
369 select a versatile variant financial mechanism, wherein the versatile variant is
370 comprised of multiple subcomponent transactions;
371 look-up the subcomponent transactions that comprise the selected versatile
372 variant in a database, wherein the subcomponent transactions are interrelated;
373 identify the availability of the subcomponent transactions;
374 provide one or more orders for processing the subcomponents, if the
375 subcomponents are available.

376 126. A system to generate a versatile financial mechanism, comprising:
377 means to select an underlying financial mechanism;
378 means to select a versatile variant financial mechanism, wherein the versatile variant
379 is comprised of multiple subcomponent transactions;
380 means to look-up the subcomponent transactions that comprise the selected versatile
381 variant in a database, wherein the subcomponent transactions are interrelated;
382 means to identify the availability of the subcomponent transactions;
383 means to provide one or more orders for processing the subcomponents, if the
384 subcomponents are available.
385